- 1. Name of Listed Entity:Goenka Diamond and Jewels Limited
- 2. Scrip Code/Name of Scrip/Class of Security: GOENKA
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2020
  5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Catego (I)	Category Of shareholder (II)	Nos. of share (III)	No. of fully paid up snares nela (IV)	No. of Partly equity snares held (V)	No. of shares underlying Depository Receipts (VI)	Total   nos.   shares   neia   (VII) =   (IV)+(V)+   (VI)	Shareholdin g as a % of total no. of (caiculatea as per SCRR, 1957) (VIII)	(IX) O C S No of Voting Rights   Total			No. of Shares Underlying Outstanaing convertible securities (including	Shareholdin g, as q % assyming of securities ( as percentage allutea share	<u>(X</u>	nber ocked nares	otn encu ()	mber Shares Edged Erwise Imbered XIII)	Number of equity shares in dematerializ ed form	
							As a % of (A+B+C2)	Clas s eg: X	Clas s eg:y	Tot al	I otal as a (A+B+ C)	Warrants) (X)	capital) (XI)= (XI)= As a % of (A+B+C2)	No;	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	(XIV)
A	Promoter & Promoter Group	7	182429250	0	0	182429250	57.55	182429250	0	182429250	57.55	0	57.55	0	0	0	0	182429250
В	Public	15939	134570750	0	0	134570750	42.45	134570750	0	134570750	42.45	0	42.45	0	0			134557235
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares	0	0	0	0	0		0	0	0	0	0		0	0			0

	underlying DRs																	
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	15946	317000000	0	0	317000000	100	317000000	0	317000000	100	0	100	0	0	0	0	316986485

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Snareholaers	PAN (II)	No. of shareh	No. of fully	Partly paid-up	Nos. of shares	Total nos.	Sharehol aing %	Number o	ass of se		1	No. of Shares	Shareholdin g , as a %	Numb	ed in	Sha	ber of res	Number of equity snares hela
	Snareholders (I)		older (III)	paid up equity share s neid (IV)	equity shares neia (V)	underlying Depository Receipts (VI)	shares held (VII = IV+V+VI)	AS a % of (A+B+CZ)	No of Vot	ing Righ	ts   Iot ai	lotal as a % of Total Voting rights	Underlying Outstanding Convertible Securities (including Warrants) (X)	assuming full conversion of convertible securities {     as a     percentage     of allutea     share     capital)     (XI) =     (VII)+(X) as a     % of     A+B+CZ	snar () No. (a)	As a % of total Shar es held (b)	<u>othe</u> encur	ged or rwise nbered XIII) As a % of total share s held (b)	snares heid in aematerializ ea jorm (XIV)
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
а	Individuals/Hindu undivided Family		7	182429250	0	0	182429250	57.55	182429250	0	182429250	57.55	0	57.55	0	0	0	0	182429250
	NANDLAL GOENKA HUF	AAFHN4984F	1	34200000	0	0	34200000	10.79	34200000	0	34200000	10.79	0	10.79	0	0	0	0	34200000
	NAMITA JAIN	AAFPJ5471M	1	18750	0	0	18750	0.01	18750	0	18750	0.01	0	0.01	0	0	0	0	18750
	NANDLAL SHUBHKARAN GOENKA	ACUPG6553A	1	5600625	0	0	5600625	1.77	5600625	0	5600625	1.77	0	1.77	0	0	0	0	5600625
	NIRMALA NANDLAL GOENKA	ACUPG6554H	1	51326250	0	0	51326250	16.19	51326250	0	51326250	16.19	0	16.19	0	0	0	0	51326250
	NAVNEET GOENKA	AEJPG4242H	1	91126875	0	0	91126875	28.75	91126875	0	91126875	28.75	0	28.75	0	0	0	0	91126875
	DHRITI SINGHVI	AOGPS8935Q	1	138000	0	0	138000	0.04	138000	0	138000	0.04	0	0.04	0	0	0	0	138000
	NEETA SARAF	AZBPS1234Q	1	18750	0	0	18750	0.01	18750	0	18750	0.01	0	0.01	0	0	0	0	18750
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Financial Institutions/ Banks	'	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(1)		7	182429250	0	0	182429250	57.55	182429250	0	182429250	57.55	0	57.55	0	0	0	0	182429250
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
а	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of		7	182429250	0	0	182429250	57.55	182429250	0	182429250	57.55	0	57.55	0	0	0	0	182429250

Promoter and						
Promoter Group (A)=						
(A)(1)+(A)(2)						

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity	Partly paid-up equity shares	Nos. of shares underlying Depository	Total nos. shares held <u>VII</u>	Sharehol ding % calculate d as per	Number		g Rights held f securities (IX) ts	Total as	No. of Shares Underlying Outstanding convertible	Total shareholdin g , as a % assuming full	Num Lock share No.	ber of ted in es (XII)	Number of Shares pledged o	equity shares r held in
				share s held (IV)	held (V)	Receipts (VI)	<u>=</u> <u>IV+V+V</u> I	SCRR, 1957 As a % of		Class Y	Tot al	a % of Total	securities (including Warrants) (X)	conversion of convertible securities (as	(a)	% of total Shar	(No % of total share)	f ed form (XIV)
								(A+B+C2)				Voting rights	warrants) (x)	a percentage		es	ca hel	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
а	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
С	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
е	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
f	Financial Institutions/ Banks		2	12891023	0	0	12891023	4.07	12891023	0	12891023	4.07	0	4.07	0	0		12891023
	General Insurance Corporation of India	AAACG0615N	1	5993904	0	0	5993904	1.89	5993904	0	5993904	1.89	0	1.89	0	0		5993904
	Punjab National Bank	AAACP0165G	1	6897119	0	0	6897119	2.18	6897119	0	6897119	2.18	0	2.18	0	0		6897119
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		2	12891023	0	0	12891023	4.07	12891023	0	12891023	4.07	0	4.07	0	0		12891023
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
а	Individuals -		15746	106365557	0	0	106365557	33.55	106365557	0	106365557	33.55	0	33.55	0	0		106352042
į	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		15689	70119310	0	0	70119310	22.12	70119310	0	70119310	22.12	0	22.12	0	0		70105795
ii	Individual shareholders holding		57	36246247	0	0	36246247	11.43	36246247	0	36246247	11.43	0	11.43	0	0		36246247

						-											
nominal share capital							I										
in excess of Rs. 2							I										
lakhs.							<u> </u>										
NBFCs registered		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
with RBI							<u> </u>										
Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
Overseas		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
Depositories (holding							I										
DRs) (balancing							I										
figure)							<u> </u>										
Any Other (specify)		191	15314170	0	0	15314170	4.83	15314170	0	15314170	4.83	0	4.83	0	0		15314170
Bodies Corporate		73	13385743	0	0	13385743	4.22	13385743	0	13385743	4.22	0	4.22	0	0		13385743
Clearing member		14	295573	0	0	295573	0.09	295573	0	295573	0.09	0	0.09	0	0		295573
IEPF		1	2859	0	0	2859	0	2859	0	2859	0	0	0	0	0		2859
Non-Resident Indian		32	190301	0	0	190301	0.06	190301	0	190301	0.06	0	0.06	0	0		190301
(NRI)							<u> 1</u>										
Non-Resident Indian		70	1439194	0	0	1439194	0.45	1439194	0	1439194	0.45	0	0.45	0	0		1439194
(NRI)							<u> </u>										
Trusts		1	500	0	0	500	0	500	0	500	0	0	0	0	0		500
Sub-Total (B)(3)		15937	121679727	0	0	121679727	38.38	121679727	0	121679727	38.38	0	38.38	0	0		121666212
Total Public		15939	134570750	0	0	134570750	42.45	134570750	0	134570750	42.45	0	42.45	0	0		134557235
Shareholding (B)=							I										
(B)(1)+(B)(2)+(B)(3)							<u>i                                      </u>										
	in excess of Rs. 2 lakhs.  NBFCs registered with RBI Employee Trusts Overseas Depositories (holding DRs) (balancing figure) Any Other (specify) Bodies Corporate Clearing member IEPF Non-Resident Indian (NRI) Non-Resident Indian (NRI) Trusts Sub-Total (B)(3) Total Public Shareholding (B)=	in excess of Rs. 2 lakhs.  NBFCs registered with RBI Employee Trusts Overseas Depositories (holding DRs) (balancing figure) Any Other (specify) Bodies Corporate Clearing member IEPF Non-Resident Indian (NRI) Non-Resident Indian (NRI) Trusts Sub-Total (B)(3) Total Public Shareholding (B)=	in excess of Rs. 2 lakhs.  NBFCs registered with RBI Employee Trusts Overseas Depositories (holding DRs) (balancing figure) Any Other (specify) Bodies Corporate 73 Clearing member 14 IEPF 1 Non-Resident Indian (NRI) Non-Resident Indian (NRI) Trusts 1 Sub-Total (B)(3) Total Public Shareholding (B)=	in excess of Rs. 2 lakhs.  NBFCs registered with RBI  Employee Trusts  O O O  Overseas Depositories (holding DRs) (balancing figure)  Any Other (specify)  Bodies Corporate Clearing member IPF I 2859  Non-Resident Indian (NRI)  Non-Resident Indian (NRI)  Trusts I 500  Sub-Total (B)(3)  Total Public Shareholding (B)=	in excess of Rs. 2 lakhs.  NBFCs registered	in excess of Rs. 2 lakhs.  NBFCs registered	in excess of Rs. 2 lakhs.  NBFCs registered	in excess of Rs. 2 lakhs.  NBFCs registered	In excess of Rs. 2   Iakhs.   NBFCs registered   O	In excess of Rs. 2   Iakhs.	In excess of Rs. 2   Iakhs.	in excess of Rs. 2 lakhs.  NBFCs registered with RBI  Employee Trusts  0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	in excess of Rs. 2 lakhs.    BFCs registered with RBI	in excess of Rs. 2 lakhs.    NBFCS registered with RBI	In excess of Rs. 2 lakhs.    NBFCS registered   0	In excess of Rs. 2 lakhs.    NBFCS registered   O   O   O   O   O   O   O   O   O	in excess of Rs. 2 lakhs.    NeFCs registered with RBI   N

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	r of Voting	g Rights	held in	No. of	Total	Numb	er of	Numl	ber of	Number of
	of the Snarenoiders	(11)	snaren olaer	fully paia	paia-úp	snares unaeriying	shares	ding % caiculate	eacn	class of si		j	Shares	shareholdin	Locked		Shai	res	equity snares hela
	(I)	1	(111)	ир	equity shares	Depository	neia (VII =	a as per	$\dashv$	ι	(IX)		Underlying Outstanding	g , as a % assuming	311416	(II)	pieag	rwise	In
	1-7		1,	eqüity share	neia	Receipts	<u> </u>	SCRR, 1957	₫				convertible	full	,-	,	encun	nbered	aematerializ
				share	(V)	(VI)	7	1957	No of L	702100 III.O	446	1 70401 00 0	securities	conversion	N/O	1.00		XIII)	ea form
	ļ			s neid (IV)	4			AS 0 % 0f (A+B+C2)	_ NO OJ V	oting Rigi	IILS	Total as a	(including Warrants)	convertible	No.	As a	No.	As a	INOT
				(,,,				(VIII)	$\dashv$			Ťoťal	(X)	securities (		% of	(No	% of	(XIV) (Not Applicable)
				'				, ,	Class X	Class Y	Tot	% of Total Voting rights		as a		total	t ap	total	
				'							al	rignts		ot allutea		Shar		_	
	ļ			'										share		Silui	рп	share s	
				'										percentage of alluted share capital) (XI)		es	са	held	
-		<del> </del>	+	+	+	+	+		+	+				(///		<u> </u>		/*	
1	Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0		0	0			0
2	Employee Benefit		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Trust (under SEBI			'															
	(Share based			'															
	Employee Benefit)			'															
	Regulations, 2014)																		
	Total Non-Promoter-		0	0	0	0	0		0	0	0	0	0		0	0			0
	Non Public																		
	Shareholding (C)=			· ·															
	(C)(1)+(C)(2)				<u> </u>														

## Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. ın case of a foreign national	Nationality	Details of holding/ ex	ercise of right of the SBO i	in the reporting company, wh	ether direct or indirect	·*	Date of creation / acquisition of significant beneficial
			oj u joreigii ilutional		Snares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest
1	NAND LAL GOENKA	ACUPG6653A		INDIAN	12.56	12.56	12.56			
А	NANDLAL GOENKA HUF	AAFHN4984F		INDIAN	10.79	10.79	10.79	No	No	16-Apr-2010
В	NAND LAL GOENKA	ACUPG6653A		INDIAN	1.77	1.77	1.77	No	No	16-Apr-2010

## Table II- Unclaim Details

Details of Shares which remain unclaimed may be giv	en here along with details such as number of shareholders,							
outstanding shares held in demat/unclaimed s	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.							
No. of shareholders	No of share held							

## Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,

outstanding shares held in demat/unclaimed s	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.									
No. of shareholders	No of share held									

## Table III- Person in Concert

Details of the shareh	Details of the shareholders acting as persons in Concert including their Shareholding											
Name of shareholder	Name of PAC	No. of share	Holding %									